

Westpac BlueChip20

Account Opening Checklist – for clients
investing without an Adviser



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Complete and sign the following application forms:

Westpac BlueChip20 – Margin Lending Application

- Ensure signatures are witnessed and dated
- Trusts – Certified copy of the Trust deed and the Solicitors Trust Opinion
- Direct debit request – includes the initial and regular investment instructions
- Proof of identity documents or details
- Verification of Income documentation is attached

Westpac BlueChip20 – Investment Application

Part 3 – Adviser and Nominated Representatives

- In order to invest using the SMA structure, you require a nominated representative.
- Given names – enter “BTML”
- Surname – enter “BlueChip20 Customer Service”
- Dealer Group – enter “BT Margin Lending”
- Adviser Code/Number – enter “BTML”

Part 4 – Fees must be completed prior to investor signature, if this is incomplete a delay in application approval and investment will result.

- Adviser Service Fee – enter “NO ADVISER”
- Dealer Group Service Fee – enter “0.825%”

BT Margin Lending will complete the Investor Identification Form using details supplied in the Westpac BlueChip20 Margin Lending Application

How to certify:

The certifier must

- Write the following on each document: "I certify that this is a true and correct copy of the original"
- Sign and date the copy
- Add their name in block capitals along with their position, and if relevant, their ID number
- The certified copy must be original (no photocopy of certifier's signature)

People entitled to certify documents:

- an officer with 2 or more continuous years of service with one or more financial institutions (for the purposes of the Statutory Declaration Regulations 1993);
- a magistrate;
- a judge of a court;
- a chief executive officer of a Commonwealth court;
- a police officer;
- an agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public;
- a person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner (however described);
- a member of the Institute of Chartered Accountants in Australia, CPA Australia or the National Institute of Accountants with 2 or more years of continuous membership;
- a justice of the peace;
- a notary public (for the purposes of the Statutory Declaration Regulations 1993).

Mail your completed original Westpac BlueChip20 Investment Application and Margin Lending Application forms to:

- BT Margin Lending
GPO Box 3917
SYDNEY NSW 2001

